

In

Express Mail No.: EV 673965890 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/539,562~

Filed:

June 17, 2005

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

Benno Guggenheimer, Robert Clark, Michael

Barber, and Bob

Lathrop

Title:

Systems and Methods of Sample Processing and Temperature Control

TC/A.U:

1651

Examiner:

Allison M. Ford

Assignee:

Dako Denmark A/S

Attorney Docket:

P139 US 01

Customer No.

33549

LETTER OF TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed with this correspondence are the following documents:

- 1. Submission of Information Disclosure Statement pursuant to 37 CFR 1.97 (b)(3);
- 2. Information Disclosure Statement pursuant to 37 CFR §1.97(b)(3) and copies of foreign references and other documents cited;
- 3. This Letter of Transmittal; and
- 4. A Certificate of Express Mailing for each document and a return receipt post card.

I have this <u>23</u> day of October, 2006, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Please confirm receipt of the documents by applying your date stamp on the enclosed postcard and returning it to me.

Dated this <u>29</u> day of October, 2006.

Respectfully Submitted, SANTANGELO LAW OFFICES, P.C.

By: Milole a. Renue

Nicole A. Ressue Attorney for Assignee USPTO No. 48,665

125 South Howes, Third Floor Fort Collins, Colorado 80521

(970) 224-3100



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SUBMISSION OF INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.97(b)(3)

The applicant respectfully requests consideration of the attached Information Disclosure Statement. Pursuant to 37 C.F.R. 1.97(b)(3), no fee is required.

In accordance with 37 C.F.R. §1.97(b)(3), this Information Disclosure Statement is filed before the mailing date of a first office action on the merits (to the Applicant's best knowledge). As a means of complying with the duty of disclosure set forth in 37 C.F.R. §§1.56, 1.97, and 1.98, the following information may be material to the examination of the referenced application. Pursuant to 37 C.F.R. Section 1.97(g), this Information Disclosure Statement should not be construed as a representation that a search has been made. Additionally, pursuant to 37 C.F.R. §1.97(h) the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 C.F.R. 1.56(b). A copy of each item listed under Foreign References and Other Documents is enclosed.

Dated this 29 day of October, 2006.

Respectfully submitted, SANTANGELO LAW OFFICES, P.C.

Bv:

Nicole A. Ressue Attorney for Assignee USPTO No. 48,665 125 South Howes, Third Floor Fort Collins, Colorado 80521

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Express Mail No.: EV 673965890 US

PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO:	10/539,562
FILING DATE:	June 17, 2005
FIRST NAMED	Kristopher Buchanan
ART UNIT:	1651
EXAMINER NAME:	Allison M. Ford
DOCKET NO:	P139 US 01

I. US PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO. & KIND CODE (if known)	PATENTEE OR APPLICANT	PUBLICATION/ISSUE DATE mm/dd/yyyy	Pages, Columns, Lines Where Relevant Passages Or Relevant Drawings Appear
<u>-</u>	3,219,416	Natelson	11/23/1965	Diawings Appear
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	3,547,064	Binnings, et al.	4/6/1971	
	3,680,967	Englehardt	8/1/1972	
	3,772,154	Isenberg et al.	11/13/1973	
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	4,719,087	Hanaway	1/12/4988
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	5,311,426 5,316,452 5,316,728 5,350,697 5,355,695 5,365,595	Donohue et al. Bogen et al. Hayashi et al. Swope et al. Kawaguchi et al. Kanamori et al.	5/10/1994 5/31/1994 5/31/1994 9/27/1994 10/18/1994 10/18/1994
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II. FOREIGN PATENT DOCUMENTS

EXAMINER	Foreign Patent	PATENTEE OR	PUB'N DATE mm-	TRANSL	ATION
INITIAL	Document Country	APPLICANT NAME	dd-yyyy	Yes	No
	Code, Number, Kind				
	Code (if known)				
	EP 0285851 A2	Fuji Photo Film Co.,			
		Ltd.	10/12/1988		
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	WO 87/00086	American Hospital			
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	WO 88/02866	Serono Diagnostics			
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	WO 89/01616	Arthur Harris	2/23/1989		
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		Inc.	9/5/1991		

III. OTHER REFERENCES

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	International Preliminary Examination Report, P142WO01, International Application No. PCT/US03/40519 filed December 19, 2003
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	Methods, 1988, Elsevier, 107, pp. 89-92
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ļ	TILZER et al., "Use of Bar Code Labels on Collection Tubes for Specimen Management in
İ	the Clinical Laboratory," Arch Pathol Lab Med, 112:1201-1202, Dec. 1988.
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	Pretreatment of Biological Samples
ļ	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
	Biogenix Laboratories Reporter's Transcript of Proceedings (Markman Hearing) before
ļ	Honorable Raner C. Collins, August 11, 2005.
	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
	Biogenix Laboratories, Judgment in a Civil Case, October 19, 2005
	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
	Biogenix Laboratories, Order and Opinion on Motion, August 23, 2005
	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
ļ	Biogenix Laboratories, Order filed October 4, 2005
	United States District Court, District of Arizona, Ventana v. Cytologix, CIV 02117 TUC
	(RCC) Plaintiff's Memorandum in Support of Petition for Temporary Restraining Order and
	Permanent Injunction filed March 2002
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	(WDB) Ventana's Reply in Support of its Motion for Preliminary Relief filed June 2002
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ļ	(RCC) Plaintiff's Motion for Temporary Restraining Order filed March 2002
	United States District Court, District of Delaware, Ventana v. Dakocytomation California,
1	CIV041522 (GMS) Order Construing the Terms of U.S. Patent No. 6,827,901 dated
ľ	December 13, 2005
	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Declaration of Richard Wydeven in
	Support of Plaintiff's Motion for Summary Judgment of Non-Infringement Based on
	Collateral Estoppel, filed October 7, 2005
1	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
1	v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant Ventana Medical Systems,
	Inc.'s Memorandum in Opposition to Vision's Motion for Summary Judgment of Non-
	Infringement Based on Collateral Estoppel, filed October 21, 2005
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	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant Ventana Medical Systems,
	Inc.'s Statement of Material Facts in Dispute, in Opposition to Vision's Motion for Summary
	Judgment of Non-Infringement Based on Collateral Estoppel, filed October 21, 2005
	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant's Statement of Material
1	Facts in Dispute, in Opposition to Plaintiff's Motion for Summary Judgment o No
II	Infringement of the 861 Patent, filed January 15, 2004

	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Memorandum and Order filed
	September 30, 2004
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	its Motion for Summary Judgment of Nonfringement Based on Collateral Estoppel, filed
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	v. Ventana Medical Systems, CIV 03CV10391GAO, Plaintiff's motion for Summary
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	Facts in Support of its Motion for Summary Judgment of Non-Infringement Based on
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]	Trading v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant's Memorandum in
	Opposition to Plaintiff's Motion for Summary Judgment of No Infringement, and in Support
	of Defendant's Cross-Motion for Summary Judgment of Infringement of the 861 Patent,
	filed January 15, 2004
	United States District Court, Eastern District of Massachusetts, Vision Biosystems (USA)
	Trading v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant's Statement of
	Undisputed Material Facts, in Support of its Cross-Motion for Summary Judgment of
<u></u>	Infringement of the 861 Patent filed January 2004
	United States nonprovisional Application No. 07/488,601, "Automated Biological Reaction
	Apparatus" filed March 02, 1990
	United States nonprovisional Application No. 07/924,052, "Automated Biological Reaction
	Apparatus" filed August 31, 1992
	United States Provisional Application No. 60/487,998, "An Interface Point Server," filed
	July 17, 2003, 52 pages.
	US Patent Application Number 10/054,535, Entitled Automated Biological Reaction
	Apparatus, now Patent number 6,943,029 , the entire wrapper
EXAMINER:	DATE CONSIDERED
1	

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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Title:

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Control

TC/A.U:

1651

Examiner:

Allison M. Ford

Assignee:

Dako Denmark A/S

Attorney Docket:

P139 US 01

Customer No.

33549

CERTIFICATE OF EXPRESS MAILING

I, Cheryl A. Swanson, hereby certify to the truth of the following items:

- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Submission of Information Disclosure Statement pursuant to 37 CFR 1.97 (b)(3); Information Disclosure Statement Pursuant to 37 CFR §1.97(b)(3) and copies of foreign references and other documents cited; Letter of Transmittal; and return post card with the United States Postal Service as Express Mail, postage prepaid, for mailing to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated this 33 day of October, 2006.

Meryl A. Swanson
Cheryl A. Swanson